500.42877X00

NOV 0 2 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

N. ISHITSUKA, et al.

Serial No.:

10/600,771

Filed:

June 23, 2003

For:

SEMICONDUCTOR DEVICE AND MANUFACTURING

METHOD OF THE SAME

Group:

2812

Examiner:

H. Jey Tsai

Confirm. No.:

5732

UNDER 37 CFR §1.97 & §1.98

MS: AMENDMENTS

Commissioner For Patents POB 1450 Alexandria, VA 22313-1450

November 2, 2005

Sir:

In the matter of the above-identified application, applicants, through there undersigned representative, are submitting herewith copies of the two (2) cited art documents which were listed in a recent Office Action in a counterpart foreign (Korean) application and a listing therefor of the same in the attached Form PTO/SB/08A for the USPTO's consideration. The copies of the cited art documents in connection include a U.S. patent and a Korean patent publication (including a Japanese language abstract thereof). A copy of the Korean Office Action along with an available English translation thereof are also enclosed.

This Information Disclosure Statement (IDS) is being submitted prior to the mailing of either a final action on the merits or an official Notice of

Allowance, and, accordingly, is in compliance with 37 CFR §1.97(c).

The following statement as specified under 37 CFR §1.97(e)(1) is being made:

On information and belief, the undersigned submits that each item of information contained in this Information Disclosure Statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this IDS.

To the extent that listed Korean Patent Publication No. 1998-015456 is not in the English language, the requirement of 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance thereof is satisfied by:

The submission of an available English language version of the Korean Office Action as well as by the discussion related thereto, based on that provided by applicants to the undersigned representative, which is summarized hereinbelow.

Regarding Korean Patent Publication No. 1998-015456, it is observed that in Fig. 6 thereof the height of the insulation film that is deposited on the element isolation groove 12 reaches the surface of the substrate 10. Numeral 13 in Fig. 6 thereof denotes the gate oxide film 13. It is apparent therefore that the height reached by the insulation film deposited on the element isolation groove 12 is higher than that of the source and drain.

The following comments were also provided by applicants regarding the listed U.S. Patent No. 6,243,286. With regard to the embodiment illustrated in Fig. 18 thereof, it is observed that the referred to field oxide film 13 relates to

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what applicants call as a "LOCOS" structure. In this regard it is noted that the field oxide film 13 is remarkably different from that of the element isolation groove. Even if one would attempt to consider the field oxide film 13 as being equivalent to an element isolation grove, the fact still remains that the height achieved by the insulating film of the field oxide region 13 is higher than that of the positions of both the source and the drain, which is, applicants submit, quite different from that of the present invention.

It is submitted this IDS is in compliance with the rules of practice as well as with USPTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the USPTO. Also, acknowledgment of entry as well as of formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information

Disclosure Statement, please charge same to the account of Antonelli, Terry,

Stout & Kraus, LLP, Account No. 01-2135 (500.42877X00).

Respectfully submitted, ANTONELLI, TERRY, STOUT & KRAUS, LLP

Larry N. Anagnos

Registration No. 32,392

LNA/gjb (703) 312-6600 Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				C	complete if Known
	MEODILLE			Application Number	10/600,771
	INFORMATION DIS			Filing Date	June 23, 2003
_	STATEMENT BY A	PPLICA	NT	First Named Inventor	N. ISHITSUKA, et al.
E				Art Unit	2812
	(use as many sheets as	necessary	<u> </u>	Examiner Name	H. Jey Tsai
Sheet	1	of	1	Attorney Docket Number	500.42877X00

95			U.S. PATENT	DOCUMENTS	
Framiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6.243.286	06/05/2001	Kumagai, et al.	(1991007100001

		FOREIG	N PATENT DO	CUMENTS		
Examiner	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Initials'		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		KR 1998-015456	05/25/1998			<u> </u>

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.